

SHAHLA F. ALI (夏蘭)

Independent Arbitrator; Mediator

B.A., MA, JD, Ph.D; State Bar of California

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University of Hong Kong
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US Citizen; Hong Kong Permanent Resident



SPECIALIZATION

- Int. Arbitration
- Mediation
- IP; Domain Name
- General Commercial
- Trade/Finance
- Securities

EDUCATION

PhD/JD, University of California, Berkeley, Selznick Fellow

Diploma, Hopkins-Nanjing Center for Chinese & American Studies, Hassenfeld Fellow

MA, Landegg, *Consultation & Conflict Resolution*, Honors

BA, Stanford University
International Relations and Chinese Language, Distinction

ADMISSION

State Bar of CA
American Society of Int Law
Institute for Transnational Arbitration
American Bar Association

ARBITRATION PANELS

- CIETAC
- HKIAC
- ADNDRC
- SCIA
- FINRA

ARBITRATION / MEDIATION EXPERIENCE

Shahla Ali, recognized as “a new and rising name in Asian arbitration...” and “well known international arbitration practitioner” is a professor and associate dean at the University of Hong Kong’s Faculty of Law. She serves as a bilingual arbitrator (English/Chinese) with HKIAC, CIETAC, FINRA, SCIA and mediator with HKMC, HKMAAL, WTC Macau and the HK Building and Lands Tribunal. She has studied and practiced in China, Hong Kong SAR, Israel, the US and Switzerland and speaks English, Chinese and Farsi. She is qualified to practice law in California.

In addition to her Asia-Pacific experience, Shahla worked as an attorney with Baker & McKenzie, LLP in its San Francisco office where she focused on international trade, corporate transactions and regulatory compliance. She received her JD and PhD from UC Berkeley in Jurisprudence and Social Policy, her MA in Conflict Resolution, and BA in International Relations and Chinese Language from Stanford University. She has conducted mediation and collaborative negotiation trainings for private and public sector managers and UN staff, and teaches Arbitration law at the University of Hong Kong.

Internationally, she has consulted with USAID, IFC/World Bank and the UN on access to justice, peace process negotiation, and served as a delegate at UNCITRAL’s WG II, and IBA’s Working Group on Investor State Mediation Rules. She is the author or co-editor of 6 books, and more than 50 articles and chapters in the area of cross border arbitration and mediation.

ARBITRATION/MEDIATION CASE EXPERIENCE

- Co-arbitrator in a dispute between a Dutch company and a Mainland company relating to a purchase agreement, CIETAC, Hong Kong (in Mandarin Chinese)
- Co-arbitrator in a dispute between a trust fund and a broker/dealer relating to a claim of unsuitability, FINRA, San Francisco
- Mediation course instructor at the University of Hong Kong
- Presiding arbitrator in a 2013 dispute regarding domain name rights to ican.com, HKIAC, Hong Kong
- Mediator in a dispute between a tenant and landlord regarding a tenancy agreement, San Francisco.
- Presiding arbitrator in several 2014 disputes regarding domain name rights to alimama.wang, whirlpoolchina.com, tvb22.com, HKIAC, Hong Kong (in Mandarin Chinese)
- Mediator in an airline consumer dispute, Hong Kong.
- Co-arbitrator in a dispute between an individual and a

MEDIATION PANELS

- Energy Community Panel of Mediators
- UN Mediation Roster
- Hong Kong Mediation Accreditation Association Limited (“HKMAAL”)
- Macau International Arbitration Center
- HKMC
- Building and Lands Tribunal

LANGUAGES

- English (fluent)
- Mandarin (Advanced)
- Farsi (Intermediate)
- Russian (Basic)

- broker/dealer relating to a securities contract, FINRA, San Francisco
- Co-arbitrator in a dispute involving domain name rights to teenee.com, CIETAC, Beijing (in Mandarin Chinese)
- Co-arbitrator in a dispute between a trust fund and a broker/dealer relating to the securities act, FINRA, San Francisco
- Presiding arbitrator in several 2015 disputes regarding domain name rights to rimowasales.com and rimowaoutlet.com, paulsmith-france.com, HKIAC, Hong Kong (in Mandarin Chinese)
- Presiding arbitrator in a 2016 dispute regarding domain name rights to ul-str.org.com, HKIAC, Hong Kong
- Co-arbitrator in a dispute between a couple and a major banking institution regarding securities/fraud, FINRA, San Francisco
- Presiding arbitrator in several 2017 disputes regarding domain name rights to meizu.com, Assab, Fubon, HouseofHello, HKIAC, Hong Kong (in Mandarin Chinese)
- Co-arbitrator in a dispute between an individual and a securities company regarding securities fraud/misrepresentation/fiduciary duty/contract/negligence, FINRA, San Francisco
- Presiding arbitrator in several 2018 disputes regarding domain name rights to Cetaphil, Remy Martin, Klarna, Maione, New Directions, HKIAC, Hong Kong
- Co-arbitrator in a dispute between a trust and a broker/dealer regarding a securities dispute, FINRA, San Francisco
- Co-arbitrator on an expungement panel, FINRA, San Francisco
- Presiding arbitrator in several 2019 disputes regarding domain name rights to Wondershare, GY Telecom, Ikea, CKH, Acrelormittal, Electrolux, HKIAC, Hong Kong

PROFESSIONAL EXPERIENCE

- **University of Hong Kong**, Professor & Associate Dean (International), Hong Kong
- **Baker & McKenzie, LLP**, Associate, International/Trade Group, San Francisco, USA
- **United Nations Office of Human Resource Management**, Mediation trainer, NY
- **Resolve Consulting**, Principal (landlord-tenant, real estate contract, airline dispute)
- **U.S. District Court, Alternative Dispute Resolution Program**, San Francisco, CA
- **California Administrative Office of the Courts**, Office of the General Counsel, SF
- **U.S. District Court, Northern District of California**, Extern for Judge Wayne Brazil
- **Gregorio, Haldeman & Piazza**, Mediation case manager, SF, CA

MEDIATION/ARBITRATION CERTIFICATION

- Arbitrator Chairperson Training, FINRA
- Arbitrator Training, FINRA
- Arbitration Expungement Training, FINRA
- Mediation Certification, Institute for International Mediation and Conflict Resolution (IIMCR), The Hague (200 hour course), Selected as “Outstanding Contributor”.
- MA degree in Consultation & Conflict Resolution, Landegg University, Switzerland (90+ hrs training in mediation and conflict resolution theory and skills).

GOVERNMENT DISPUTE RESOLUTION BODIES

- Member, Department of Justice, Regulatory Subcommittee on Mediation, DOJ, 2014-
- Member, Financial Dispute Resolution Appointments Committee, FDRC 2014-present

- Asian Mediator Resource Person, Office of the Compliance Advisor Ombudsman (CAO), International Finance Corporation/World Bank Group for Community Bank Disputes
- Consultant, Financial Consumer Protection Bureau, Peoples Bank of China (2014)
- Co-facilitator, HK Waste Management Public Dialogue, HK Stock Exchange
- Party-Appointed Representative, HK Administrative Appeals Board, Privacy Commission

RECOGNITION

Women in Education Leadership Award, World Education Congress
 Outstanding Young Researcher Award, University of Hong Kong
 Knowledge Exchange Award, University of Hong Kong
 Outstanding Teaching Award, University of Hong Kong
 Law and Social Inquiry, Editorial Board
 Hong Kong Law Journal, Articles Editor
 World Arbitration and Mediation Review, Advisory Board
 Selznick Fellowship, Jurisprudence and Social Policy Program, UC Berkeley
 Foreign Language and Area Studies Fellowship (Mandarin), US Department of Education

VISITING APPOINTMENTS

Jan 2019	UCLA School of Law, <i>Lawyer as Peacemaker</i> , Invited Guest Lecturer
Oct 2018	NYU School of Law, <i>Law and Development Colloquium</i> (Brooks & Davis) Guest Lecturer
July 2015-16	Beijing University/STL Shenzhen/IEEM Macau, <i>Investor State Dispute Settlement</i>
Nov 2014	National University of Singapore, <i>International Arbitration Academy</i>
Sept 2014	University of Milan/Lisbon, <i>Intercultural Dimensions of Dispute Resolution</i> (invited)
July 2013	Duke University/University of Hong Kong, <i>Legal Traditions and Cultures: East and West</i>
July 2011	HKU SPACE, <i>HK Company Law & Anti-Bribery Ordinance</i> (Putonghua)
July 2010	Duke University/University of Hong Kong, <i>International Arbitration Law</i>
June 2010	Santa Clara Summer Program, <i>Public International Law of Investor State Arbitration</i>
June 2006	Stanford Summer Program in Beijing, <i>Cross Cultural Dispute Resolution in a Global Environment</i>
Oct 2005	United Nations Office of Human Resource Management, <i>Collaborative Negotiation</i>
Fall 2004	University of California, Berkeley, <i>Law and Society in China</i>
Oct 2003	United Nations Office of Human Resource Management, <i>Mediation for Managers</i>
Spring 2002	University of California Berkeley, Education for Social Change (DECAL course)
Spring 2001	German Legal Institute, Nanjing, PR China, Corporations

PERSPECTIVES

Books

1. Ali, S, (Forthcoming) FORMING TRANSNATIONAL DISPUTE SETTLEMENT NORMS: SOFT LAW AND THE ROLE OF UNCITRAL'S REGIONAL CENTRE FOR ASIA AND THE PACIFIC (Edward Elgar)
2. Ali, S, I. Bantekas, M. Gomez, P. Ortolani, (2020) COMMENTARY ON THE UNCITRAL MODEL LAW ON INTERNATIONAL COMMERCIAL ARBITRATION (Cambridge, UK: Cambridge University Press)
3. Ali, S., COURT MEDIATION REFORM: EFFICIENCY, CONFIDENCE AND PERCEPTIONS OF JUSTICE (Edward Elgar, forthcoming 2018).
4. Ali, S. (2016) GOVERNING DISASTERS: ENGAGING LOCAL POPULATIONS IN HUMANITARIAN RELIEF (Cambridge University Press).
5. Ali, S., Ginsburg, T., Eds. (2013) INTERNATIONAL COMMERCIAL ARBITRATION IN ASIA (Juris Publishers).
6. Ali, S. (2013). CONSUMER FINANCIAL DISPUTE RESOLUTION IN A COMPARATIVE CONTEXT: PRINCIPLES, SYSTEMS AND PRACTICE (Cambridge University Press)
7. Ali, S. (2010; 2012 reprint). RESOLVING DISPUTES IN THE ASIA PACIFIC: INTERNATIONAL

MEDIATION AND ARBITRATION IN EAST ASIA AND THE WEST (Routledge).

8. Ali, S, I. Bantekas, M. Gomez, P. Ortolani, COMMENTARY ON THE UNCITRAL MODEL LAW ON INTERNATIONAL COMMERCIAL ARBITRATION (Cambridge University Press, 2018)

Journal Articles

1. Ali, S. (2019) *The Power of Reflection – Advancing Transnational Dispute Systems through Devolved Reflection and Shared Knowledge Generation*, JOURNAL OF BAHAI STUDIES.
2. Ali, S., (2019) *Civil Mediation Reform: Balancing the Scales of Procedural and Substantive Justice* CIVIL JUSTICE QUARTERLY, (2018) 37(4) CJQ.
3. Ali, S., (2018) *Practitioners Perceptions of Court-Connected Mediation in Five Regions: An Empirical Study*, VANDERBILT JOURNAL OF TRANSNATIONAL LAW, Volume 51, Issue 1, Fall 2018, pp. 245
4. Ali, S., (2018) *Nudging Civil Justice: Examining Voluntary and Mandatory Court Mediation Experience in Diverse Regions*, CARDOZO JOURNAL OF CONFLICT RESOLUTION, Volume 19, Issue 2
5. Ali, S., (2016) *The Legal Framework for Med-Arb Developments in China: Recent Cases, Institutional Rules and Opportunities*, DISPUTE RESOLUTION INTERNATIONAL, DRI 119.
6. Ali, S. and Repousis, O. (2016) *Investor-State Mediation and the Rise of Transparency in International Investment Law: Opportunity or Threat?* DENVER JOURNAL OF INT'L LAW AND POLICY
7. Ali, S. and Rubino-Sammartano, M. (2015) *Winds of Change as to Intra-Arbitration Appellate Proceedings Have Reached Asia*, ASIAN DISPUTE REVIEW.
8. Ali, S. (2016) *Mass Claims Mediation in China*, JOURNAL OF COMPARATIVE LAW, Vol 10-2, (Eds. Palmer, M. & Hualing, F.)
9. Ali, S., (2013). *The Jurisprudence of Responsive Mediation: An Empirical Examination of Chinese Peoples Mediation in Action*, JOURNAL OF LEGAL PLURALISM AND UNOFFICIAL LAW,
10. Ali, S., (2013). *Globalization and Financial Dispute Resolution: Examining Areas of Convergence and Informed Divergence in Financial ADR*, JOURNAL OF DISPUTE RESOLUTION, (Fall 2013).
11. Ali, S. and Huang, H. R. (2012). *Financial Dispute Resolution in China: Arbitration or Court Litigation?* ARBITRATION INTERNATIONAL, Vol. 28, No. 1. 77-100.
12. Ali, S. and DaRoza, A. (2012). *Alternative Dispute Resolution Design in Financial Markets – Some More Equal than Others: Hong Kong's Proposed Financial Dispute Resolution Centre in the Context of Experience in the United Kingdom, the United States, Australia and Singapore*, PACIFIC RIM LAW AND POLICY JOURNAL. Vol. 21, No. 3. 485-531.
13. Noguchi, L. & Ali, S. (2011). *Women, Decision Making and Sustainability: Exploring the Experience of the Badi Foundation in China*, HASTINGS WOMEN'S LAW JOURNAL. 295-315.
14. Ali, S. & Lee, F. (2011). *Lessons Learned From a Comparative Examination of Global Civil Justice Reforms*, INTERNATIONAL JOURNAL OF LAW AND MANAGEMENT. Vol. 53, Issue 4.
15. Ali, S. (2011) *Facilitating Settlement at the Arbitration Table: Comparing Views on Settlement Practice Among Arbitration Practitioners in East Asia and the West* (September 2011). ONATI SOCIO-LEGAL SERIES, Vol. 1, No. 6, 2011.
16. Ali, S. & Wang, J. K. (2011). *After Lehman: International Response to Financial Disputes – A Focus on Hong Kong*, RICHMOND JOURNAL OF GLOBAL LAW AND BUSINESS. 151-177.
17. Ali, S., Davis, W. E. & Lee, J. (2011). *Multi-Stakeholder Dispute Resolution: Building Social Capital Through Access to Justice at the Community Level*, PEPPERDINE DISPUTE RESOLUTION LAW JOURNAL. Vol.11, Issue 2. 181-206.
18. Ali, S. (2011). *The Morality of Conciliation: An Empirical Examination of Arbitrator Role Moralities in East Asia and the West*, HARVARD NEGOTIATION LAW REVIEW. 1-30.
19. Ali, S. (2010). *Measuring Success in Devolved Collaboration*, JOURNAL OF LAND USE AND ENVIRONMENTAL LAW. 93-112.
20. Ali, S. (2010). *Barricades and Checkered Flags: Examining Perceptions of the Roadblocks and Facilitators of Settlement among Arbitration Practitioners in East Asia and the West*, PACIFIC RIM LAW AND POLICY JOURNAL. 243-278.
21. Ali, S. (2009). *Approaching the Global Arbitration Table: Comparing the Advantages of Arbitration as Seen by Practitioners in East Asia and the West*, THE REVIEW OF LITIGATION. Vol. 28, Issue 4. 7
22. Ali, S., Davis, B. & Katbeh, L. (2005). *Creating Commercial Dispute Resolution Centers in the West Bank/Gaza*, DISPUTE RESOLUTION JOURNAL. 66-72.

Invited Book Chapters

1. Ali, S., (in press) *Transnational Commercial Law: Developments and Controversies* in OXFORD HANDBOOK OF TRANSNATIONAL LAW (Peer Zumbansen Ed, forthcoming). Oxford University Press.
2. Ali, S. (in press) *The Application of Non-State Based Standards in International Arbitration* in OXFORD HANDBOOK ON GLOBAL LEGAL PLURALISM (P. S. Berman, Ed.) Oxford University Press.
3. Ali, S. (in press) *The Emergence of Soft Law as an Applicable Source of Procedural and Substantive Law* in CAMBRIDGE COMPENDIUM OF INTERNATIONAL COMMERCIAL AND INVESTMENT ARBITRATION (A. Bjorklund, F. Ferrari and S. Kroll, Eds.) Cambridge University Press.
4. Ali, S. (2018) *Dispute Resolution in International and Bilateral Investment Agreements*, in IP as Property, Kluwer Law.
5. Ali, S. (2017) *Transnational Law and Global Dispute Resolution, Jessup's Bold Proposal Conference Book*, Kings College London (P. Zumbansen, Ed.)
6. Ali, S. (2017) *Harmonizing UNCITRAL Conciliation and State Mediation Law: Developments in Civil Mediation Reform* in UNCITRAL CONCILIATION IN ASIA (M.P. Ramaswamy Ed.)
7. Ali, S. (2017) *Balancing Procedural and Substantive Arbitration Reforms: Advancing International Arbitration Practice in Hong Kong* in THE DEVELOPING WORLD OF ARBITRATION: A COMPARATIVE STUDY OF ARBITRATION REFORM (A. Reyes and W. Gu Ed, Hart)
8. Ali, S (2016) *Hong Kong's Adoption of the UNCITRAL Model Law* in THE UNCITRAL MODEL LAW AND ASIAN ARBITRATION LAWS (Gary Bell Ed, forthcoming). Cambridge University Press.
9. Ali, S. and Koo, A. (2013). *Mediation and its Impact on the Legal System in Hong Kong* in MEDIATION IN THE ASIAN PACIFIC: A PRACTICAL GUIDE TO MEDIATION AND ITS IMPACT ON LEGAL SYSTEMS (Wang Guiguo and Yang Fan, Eds.), Wolters & Kluwer. 225-242.